

02-15-01

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J1003 U.S. PTOPlease type a plus sign (+) inside this box → ☒PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |  |
|------------------------|--|
| Attorney Docket No.    | CYBS5747                               |
| First Inventor         | GATTO                                  |
| Title                  | COMPACT DOCUMENT SCANNER WITH BRANDING |
| Express Mail Label No. | EL 426 760 438 US                      |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description - 1 cover sheet
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
5. Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Form 2038 for Filing Fee

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or ☒ Correspondence address below

|         |   |           |              |          |              |
|---------|---|-----------|--------------|----------|--------------|
| Name    | Alan W. Young <b>022430</b>                         |           |              |          |              |
| Address | Young Law Firm, P.C.<br>4370 Alpine Road, Suite 106 |           |              |          |              |
| City    | Portola Valley                                      | State     | CA           | Zip Code | 94028        |
| Country | USA   | Telephone | 650-851-7210 | Fax      | 650-851-7232 |

|                   |                      |                                   |         |
|-------------------|----------------------|-----------------------------------|---------|
| Name (Print/Type) | Alan W. Young        | Registration No. (Attorney/Agent) | 37,970  |
| Signature         | <i>Alan W. Young</i> | Date                              | 2-14-01 |

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09/782839

02/14/01

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$) 355.00

**Complete if Known**

|                      |                          |
|----------------------|--------------------------|
| Application Number   | To Be Assigned.          |
| Filing Date          | February 14, 2001        |
| First Named Inventor | GATTO, Jean Marie et al. |
| Examiner Name        | To Be Assigned           |
| Group Art Unit       | To Be Assigned           |
| Attorney Docket No.  | CYBS5747                 |

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☐ Check ☒ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity  |               | Small Entity  |               | Fee Description        | Fee Paid |
|---------------|---------------|---------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |                        |          |
| 101           | 710           | 201           | 355           | Utility filing fee     | 355      |
| 106           | 320           | 206           | 160           | Design filing fee      |          |
| 107           | 490           | 207           | 245           | Plant filing fee       |          |
| 108           | 710           | 208           | 355           | Reissue filing fee     |          |
| 114           | 150           | 214           | 75            | Provisional filing fee |          |

**SUBTOTAL (1)** (\$) 355**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20                 | -20** = 0    | 0              | 0        |
| 3                  | -3** = 0     | 0              | 0        |
| Multiple Dependent |              |                |          |

| Large Entity  |               | Small Entity  |               | Fee Description  |
|---------------|---------------|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |  |
| 103           | 18            | 203           | 9             | Claims in excess of 20                                     |
| 102           | 80            | 202           | 40            | Independent claims in excess of 3                          |
| 104           | 270           | 204           | 135           | Multiple dependent claim, if not paid                      |
| 109           | 80            | 209           | 40            | ** Reissue independent claims over original patent         |
| 110           | 18            | 210           | 9             | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

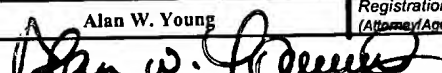
**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity  |               | Small Entity  |               | Fee Description  | Fee Paid |
|---------------|---------------|---------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |  |          |
| 105           | 130           | 205           | 65            | Surcharge - late filing fee or oath  |          |
| 127           | 50            | 227           | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139           | 130           | 139           | 130           | Non-English specification  |          |
| 147           | 2,520         | 147           | 2,520         | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112           | 920*          | 112           | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113           | 1,840*        | 113           | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115           | 110           | 215           | 55            | Extension for reply within first month                                     |          |
| 116           | 390           | 216           | 195           | Extension for reply within second month                                    |          |
| 117           | 890           | 217           | 445           | Extension for reply within third month                                     |          |
| 118           | 1,390         | 218           | 695           | Extension for reply within fourth month                                    |          |
| 128           | 1,890         | 228           | 945           | Extension for reply within fifth month                                     |          |
| 119           | 310           | 219           | 155           | Notice of Appeal   |          |
| 120           | 310           | 220           | 155           | Filing a brief in support of an appeal                                     |          |
| 121           | 270           | 221           | 135           | Request for oral hearing   |          |
| 138           | 1,510         | 138           | 1,510         | Petition to institute a public use proceeding                              |          |
| 140           | 110           | 240           | 55            | Petition to revive - unavoidable   |          |
| 141           | 1,240         | 241           | 620           | Petition to revive - unintentional   |          |
| 142           | 1,240         | 242           | 620           | Utility issue fee (or reissue)   |          |
| 143           | 440           | 243           | 220           | Design issue fee   |          |
| 144           | 600           | 244           | 300           | Plant issue fee  |          |
| 122           | 130           | 122           | 130           | Petitions to the Commissioner  |          |
| 123           | 50            | 123           | 50            | Petitions related to provisional applications                              |          |
| 126           | 240           | 126           | 240           | Submission of Information Disclosure Stmt                                  |          |
| 581           | 40            | 581           | 40            | Recording each patent assignment per property (times number of properties) |          |
| 146           | 710           | 246           | 355           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149           | 710           | 249           | 355           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179           | 710           | 279           | 355           | Request for Continued Examination (RCE)                                    |          |
| 169           | 900           | 169           | 900           | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 0**SUBMITTED BY**

|                   |   |                                   |               |           |              |
|-------------------|---|-----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Alan W. Young   | Registration No. (Attorney/Agent) | 37,970        | Telephone | 650-851-7210 |
| Signature         |  | Date                              | Feb. 14, 2001 |           |              |

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